

# PhD theses in Padua@research and Padua Research Archive

- The submission of PhD theses in Padua@research : self-archiving processes, rights and embargoes
- Padua Research Archive: the institutional repository of the scientific production of the University of Padua

Michela Zorzi 19 September, 2018



### Doctoral theses - Italy

- Legge 21 febbraio 1980, n. 28 (Legge delega per l'istituzione del dottorato di ricerca)
- D.M. 30 Aprile 1999, n. 224 (Regolamento in materia di dottorato di ricerca)
- Legge 15 Aprile 2004, n. 106 (Norme relative al deposito legale dei documenti di interesse culturale destinati all'uso pubblico)
- DPR 3 Maggio 2006, n. 252 (Regolamento attuativo della legge 106/2004)
- <u>D.M. 8 febbraio 2013, n. 45</u> (Regolamento recante modalità di accreditamento delle sedi e dei corsi di dottorato e criteri per la istituzione dei corsi di dottorato da parte degli enti accreditati)
- <u>Legge 7 ottobre 2013, n. 112</u> (Disposizioni urgenti per la tutela, la valorizzazione e il rilancio dei beni e delle attività culturali e del turismo), art. 4



### Doctoral theses - Italy

- Circolare ministeriale n. 1420 del 28 Luglio 2006 (transfer of copy of theses to National Libraries of Rome and Florence with CD or DVD)
- Circolare ministeriale n. 1746 del 20 Luglio 2007 (export to Florence and Rome through harvesting)
- CRUI (Conference of Italian University Rectors) -<u>Commissione Biblioteche</u>



#### Doctoral theses – UniPd

- Delibera del Senato Accademico del 9/11/2004 (Adesione alla Dichiarazione di Messina)
- Regolamento di Ateneo in materia di scuole di dottorato di ricerca (D.R. 3325 del 07/12/2012)
- <u>Regolamento per i corsi di dottorato di ricerca (</u>D.R. 644 del 03/03/2017 e modifiche successive)
- Policy sull'accesso aperto (Open access) alla letteratura scientifica Senato Accademico, 08/06/2015
- <u>Regolamento per l'Accesso aperto</u> alla produzione scientifica di Ateneo -Senato Accademico, 07/07/2017 - DR n°2745/2017



#### University of Padova institutional repositories:

• <u>Padua@research</u>

• Padua Research Archive



#### Changes in the submission of Ph.D theses flow

D.M. 8 febbraio 2013, n. 45 established a new flow for the admission to the final exam and the submission of Ph.D theses to the intitutional repository;

According to the D.M. the new flow had to start with the  $29^{th}$  cycle;

Academic Senate updated the "Regolamento per i corsi di dottorato di ricerca" according to D.M. (see D.R. 644 - 03/03/2017 – last updating September 2018).



#### What's really new?

- Evaluation of theses before the final discussion on the part of two external evaluators
- Introduction of the chance of redacting parts of a thesis in case of subscription of non-disclosure of trade secrets agreement

No other reasons for applying an embargo were taken into consideration, so, in lack of clearer explanations on the part of MIUR, the 29<sup>th</sup> cycle was allowed to use all the options offered by Padua@research

In the future, a direct export from Uniweb to Padua Research Archive of all theses is expected



# Up to 28° cycle

- November: registration in Uniweb
- January: submission of theses in Padua@research
- From Febrary to April: discussion
- From September to October: publication

# 29°-31° cycles

- October/November: registration and submission of theses in Uniweb
- November/December: evaluation
- January: submission of theses in Padua@research
- From February to April: discussion
- From September to October: publication



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# From 32nd

# cycle on

- October: registration and submission of theses in Uniweb
- November/December: evaluation
- Within the 15th of January: submission of final versions of revised theses in Uniweb
- From February to April: discussion
- Export of theses from Uniweb to Padua Research Archive



### Padua@research

- Padua@research is the institutional repository managed by the librarian system of the University of Padova
- Documents are deposited with self-archiving procedures
- Padua@research is based on *E-prints*, a OAIPMH software that allows to search the stored documents both through specific *harvesters* (<u>Oaister</u>, <u>Pleiadi</u>, <u>OpenAIRE</u>, <u>BASE Bielefeld</u>) and through the most popular search engines. It also makes possible the interoperability and data exchanges between archives and between archives and citation databases
- Since 2009 in Padua@research are stored Ph.D e-theses
- Padua@research guarantees the export of theses to the National Libraries of Florence and Rome through harvesting, in compliance of mandates on legal storage

### Padua@research

#### http://bibliotecadigitale.cab.unipd.it/

#### **Digital Library**

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#### **Embargo types (and length)**

- Temporary
  - Patent
  - Commercially-sensitive contents
  - Professional Secrecy
  - Editorial reasons
  - Research priority (research team)
- Permanent
  - Inclusion of unauthorized texts or materials
  - Reasons of public safety
  - Sensitive information that violates privacy



#### Temporary Embargo : **Patent**

- The request must be submitted before the discussion of the thesis, because even the simple declaration of the subject of the patent during the discussion stops and invalidates the evaluation process of the patent.
- The period required by agencies to evaluate subjects and accept communications from submitters takes at least 18 months.
- <u>Patent</u> info at UNIPD



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#### Temporary Embargo : Commercially-sensitive contents

- The <u>Technology Transfer Office</u> has drawn up a standard form for agreements with companies
- Firms ask graduate students and researchers to sign <u>their own</u> <u>conditions for non-disclosure of</u> <u>trade secrets</u>; by now these contracts do not conflict with the ministry guidelines and the regulations of doctoral courses, but you must read them carefully to maintain some of your rights as thesis authors



Photo credit: <u>The U.S. National Archives</u> via <u>VisualHunt.com</u> / Un<u>known</u> <u>copyright restrictions</u>



#### Temporary Embargo : Professional Secrecy vs Research Priority

- Professional Secrecy (protection of projects) : non-disclosure agreement to avoid compromising the final result, providing information during the process
- Research priority (for research teams) : non-disclosure agreement to respect the rights of all people involved in the research, who might wish to publish as well



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#### Temporary Embargo : Editorial reasons 1

If you have already signed a contract with a publisher, or a contract is under development



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#### Temporary Embargo : Editorial reasons 2

- You should read carefully the <u>Copyright</u> page in Padua@research: it provides tips on how to integrate contracts to retain as many rights as possible
- In the same page you find the link to the database <u>Sherpa/Romeo</u>, which lists hundreds of publishers and their policies towards authors
- You can also use the <u>Addendum</u> suggested by European Union for papers granted by European projects, eg. Horizon2020
- In the UniPD Librarian System website you find lots of specific information <u>about publishing</u>



### Remember:

- If you decide to grant open access to your thesis, you can choose to apply a Creative Commons license
  - Creative Commons Italia
  - <u>Science Commons</u>
  - Creative Commons and Data



#### Permanent Embargo : Inclusion of unauthorized texts or materials

- Full or partial texts, cited incorrectly or without quotation
- Images for which you don't have any permission to publish
- Insertion of unpublished texts



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#### HOW TO DETERMINE IF IT IS OK TO USE A PHOTO

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#### Permanent Embargo : Reasons of public safety

- Theses in Archaeology : to protect archaeological sites, their location and the finds
- Theses in Engineering : to protect a software e.g. used for judicial activities or satellites control



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#### Permanent Embargo : Use of sensitive information that violate privacy



- Theses of Statistics: Raw Data
- Inclusion of interviews from which you can recognize the people interviewed (unless you have their authorization to publish)
- Inserting images from which you can recognize the subjects (unless you have their authorization to publish)



- Padua Research Archive is the institutional repository managed by the Research Area of the University of Padova
- Its aim is to collect and publish with open access research products of the University of Padova. It is based on the IRIS platform (Institutional Research Information System) developed by Cineca. And on the software D-Space
- Documents are deposited with self-archiving procedures
- It is linked to <u>OpenAIRE</u>. It also makes possible the interoperability and data exchanges with databases (Scopus, etc.), and the export of records.
- Since June 2015 it is Open
- Padua Research Archive is suitable to export theses to the National Libraries of Florence and Rome through harvesting, in compliance of mandates on legal storage



#### RESEARCH PADUA

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Padua Research Archive, the institutional repository of the scientific production of the University of Padua, aims to collect, document, preserve and publish, also in open access, the scientific production of the University of Padua. Padua Research Archive is based on IRIS (Institutional Research Information System) developed by Cineca.

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## Padua Research Archive / IRIS

IRIS is an IT platform that makes it easy to collect and manage data on research activities and outputs within an organization.

5 main functions:

1)Institutional repository
 2)Evaluation and review module
 3)Resource Management Module
 4)Expertise & Skills Module
 5)Activities & Projects Module



### Padua Research Archive / IRIS





## Padua Research Archive / IRIS

With this tool, the University has a unique and interoperable system, able to communicate with the central national and international database for the management and dissemination of publications. The module complies with the requirements of the Ministry of Education and the European Commission for Open Access (OpenAIRE).

The ER Module supports the process of research and scientific evaluation based on data analysis and performance indicators. It allows the management of internal evaluation cycles and supports evaluation initiatives promoted by the Ministry.

**Cons**: there is no communication between the different IRIS platforms of the Italian Universities.







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... where *Gratis = Free Access* while *Libre = Open Access* 





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#### Access Policy > Embargo > Deadline





Access Policy > Embargo > Deadline

#### Motivations to apply an embargo

(From: <u>Regolamento</u> per l'Accesso Aperto alla produzione scientifica dell'Università di Padova)

- 1. Refusal of the publisher, as explained in the Sherpa-Romeo database, or attestation made by the publisher
- 2. Documented refusal of one of the co-authors, if not belonging to the University
- 3. Reasons for public or national security
- 4. Reasons for privacy or presence of sensitive data
- 5. Protection of the University's economic rights
- 6. Industrial secret



Access Policy > Embargo > Deadline





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#### Before submitting a thesis ... anywhere ;)

- Prepare the PDF file to be imported
- Prepare abstracts, bibliography and key words, paying attention to special characters. Otherwise the result could be like this:
  - Abstract with formulas as it appears in <a href="Padua@research">Padua@research</a>
  - Abstract with formulas as it appears in **BASE** Bielefeld
- Evaluate whether your thesis can be published immediately in open access or if there are the conditions to apply an embargo; you can use the guide "<u>Embargo. What to know</u> and what to avoid before submitting"



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